

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/707,000
Applicants : Jon A. Wolff, Vladimir Budker
Filed : 11/06/2000
Art Unit : 1632
Examiner : Wilson, Michael C.
Docket No. : Mirus.018.01

For: **Intravascular Delivery of Nucleic Acid**

Commissioner of Patents
PO Box 1450
Alexandria, VA 2231-1450

APPELLANT'S BRIEF under 37 CFR 1.192

1. Real party in interest:

The real parties in interest are: Jon A. Wolff and Vladimir Budker and, by assignment, Mirus Corporation, which has changed its name to MirusBio Corporation under the laws of the State of Delaware and is located at 505 South Rosa Road, Madison, WI 53719.

2. Related appeals and interferences:

There are no interferences known to appellant, the appellant's legal representative, or assignee which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

3. Status of Claims:

Claims 1-3, 6-9, 11-14, 16-22, 24-26, 28-31, 34-36, and 39 have been rejected and are hereby appealed.

Claims 4-5, 10, 15, 23, 27, 32, 33, 37, 38, and 40 have been canceled.

4. Status of amendments:

No Amendments have been filed subsequent to the final rejection.

5. Summary of Invention:

The claimed subject matter is a process for delivering a polynucleotide to a cell in a mammalian limb. The inventors injected polynucleotides into Rhesus macaque monkey limb blood vessels, and limbs of other mammals, and caused the polynucleotide to be delivered to limb extravascular cells. Importantly, for both arm and leg injections, blood flow during the injection was impeded by a cuff (tourniquet) on the surface of the skin and/or fur, surrounding the arm or leg (Example 1 beginning on page 23, line 11; Example 3 beginning on page 25, line 15, and Example 10 beginning on page 32 line 9). This aspect is essential. Applying a cuff is non-invasive relative to prior art methods. According to the prior art, to clamp off a blood vessel, surgery must be performed and the clamp applied while the surgical wound is open. In contrast, a cuff is simply wrapped around the limb and pressure applied, in the same way a sphygmomanometer cuff is applied to measure blood pressure.

A cuff surrounding the limb is defined in the specification as device placed external to the mammal's skin and which applies pressure against the limb to constrict blood vessels in an area underneath the cuff in amount sufficient to impede blood from flowing at a normal rate. Disclosed exemplary cuffs include a sphygmomanometer and a tourniquet, both of which are commonly used devices for occluding blood flow in a limb (page 5, lines 13-24).

Injection of a polynucleotide-containing solution into a limb blood vessel combined with occluding blood flow using the cuff around the limb results in increased permeability of blood vessels and delivery of polynucleotides to extravascular cells in the limb (page 2 lines 26-32; page 4 line 27 to page 25 line 3; and, page 17 line 8 to page 18 line 6). High levels of expression of delivered genes were found in muscle groups throughout the limb distal to the blood vessel occlusion (Table A, beginning on page 26, line 15; and, Table B, beginning on page 27). Expression levels in monkeys and rats were similar (Examples 7-10 beginning on page 30, line 19). Example 8 on page 31 is specific to expression of a therapeutic gene.

6. Issues:

Whether claims 1-3, 6-9, 11-14, 16-22, 24-26, 28-31, 34-36, and 39 are unpatentable under 35 U.S.C. 112, first paragraph as failing to comply with the written description requirement.

Whether claims 1-3, 6-9, 11-14, 16-22, 24-26, 28-31, 34-36, and 39 are unpatentable under 35 U.S.C. 112, second paragraph for failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention..

Whether claims 1-3, 6-9, 11-14, 16-22, 24-26, 28-31, 34-36, and 39 are unpatentable under 35 U.S.C. 102 as being anticipated by Milas *et al.* (Clin Cancer Res 1997).

7. Grouping of Claims:

The Board shall select a single claim from the group and shall decide the appeal as to the ground of rejection on the basis of that claim alone.

8. Argument:

(i) Rejection of the Claims under 35 U.S.C. 112, first paragraph.

The initial rejection on page 4 of the Office Action states that the phrase “syringe needle” in claims 1 and 39 does not have support in the specification. Support for the term “needle” is found in the specification in example 8 on page 31. Injecting a fluid into a vessel is described throughout the specification. Applicants believe that it is readily apparent to a person skilled in the art that a needle used to inject a solution into a vessel or a mammal would be a syringe needle. To support their contention, the common term “syringe” is defined in the Merriam-Webster Dictionary as: 1. a device used to inject fluids into or withdraw them from something (as the body or its cavities): an instrument (as for the injection of medicine or the withdrawal of bodily fluids) that consists of a hollow barrel fitted with a plunger and a hollow needle. As an alternative to the term “syringe needle” Applicants clearly have support for the broad term “needle” and the use of the term “syringe” narrows the term needle by the common English definition of the word. Therefore, Applicants submit that there is support in the Specification for the words “syringe needle.”

The second rejection on page 4 states that the phrase “impeding blood flow to the surface of the skin” in claims 1 and 39 does not have support in the specification and is new matter. The phrase written in the Office Action is a fragment of the entire

phrase as stated in the claims and is not correctly presented. Claims 1 and 39 actually state “applying a device for impeding blood flow to the surface of the skin of said limb”. The device is a device for impeding blood flow and it is applied to the surface of the skin. Support for such a device is found in the specification on page 3 lines 8-24, and page 5 lines 5-24. A sphygmomanometer and a tourniquet are provided as two exemplary cuffs (or devices for impeding blood flow), both of which are readily envisioned by persons skilled in the art. Applicants contend that the phrase has support in the specification and should not be considered new matter.

The rejection states that the phrase “sufficient pressure” in claim 1 does not have support in the specification. The full text of the claim states “applying sufficient pressure against said limb with said device to occlude blood flow to said limb”. Applying pressure to occlude blood flow is supported in the specification on page 3 lines 1-11 and lines 21-24, page 5 lines 6-11, page 23 lines 22-24, and page 33 lines 4-5. The term “sufficient” is a plain language term that is defined in every English dictionary. As explained in the Specification, blood flow to the limb must be impeded for the material being delivered to escape the vessel to the extravascular space. One can imagine a cuff placed around a limb without sufficient pressure to impede the blood flow. The term “sufficient” is simply a common English term to clarify that enough pressure must be applied against a limb to impede or occlude the blood running through the limb. Therefore, Applicants believe that the phrase “sufficient pressure” is supported by the Specification.

In paragraph II. on page 5, the rejection under 35 U.S.C. 112 states that the Specification is not enabling for: “1) injecting the polynucleotide to the limb proximally to the applied pressure and obtaining delivery of the polynucleotide to the skeletal muscle cells of the limb distally to the applied pressure.”

Claim 1 states in step (d) “injecting a solution containing the polynucleotides through said injector into the lumen of said artery distal to the occlusion thereby delivering the polynucleotides to said skeletal muscle cells distal to said occlusion in the limb.” (underline added). Claim 39 similarly states that the solution is injected “distal to the occlusion”.

It appears that the Examiner uses the words “proximally to the applied pressure” as the point where the nucleic acid is injected to bolster his statement that the claim is not enabled by the Specification. However, Applicants teach injecting nucleic acids distal to the applied pressure where they are also delivered (page 23 lines 22-23, page 32 lines 11-12). Therefore, Applicants do not disagree with the Examiner’s statement but are confused by its relevance.

In the Action, beginning in the first line on page 6, it states: “claim 1 encompasses inserting the injector to the limb proximally to the applied pressure and injecting the polynucleotides distally to the applied pressure.” This is true and is one of the methods used in the Examples. For instance, a catheter was inserted into a vessel proximal to the applied pressure. The catheter was then pushed through the vessel beyond the position of the applied pressure to the distal side where the nucleic acids were injected and delivered. (The biological point of reference to describe distal and proximal is the center of the body or heart.)

In the first full paragraph on page 3 of the Action it states: “claim 3 encompasses injecting a viral vector into a blood vessel of a limb to obtain delivery to a skeletal muscle cell.” This statement is accurate and is supported by the Specification on page 15 lines 13-25.

In the second paragraph on page 3, the Action states: “claims 6-9, 11-14, 16-22, 24-26, 28, 31 and 34-36 require delivery to specific muscles within the limbs.” This statement is accurate and each Example in the Specification shows delivery to limb muscle. The delivery in each instance is shown to be distal to the applied pressure, in the limb muscle, with delivery extending to the most extreme muscles of the limb such as muscle in feet and hands. Again, it is unclear why the Examiner’s statement is put forth.

The 3rd paragraph on page 6 states that claim 39 “encompasses inserting the injector to the limb proximally to the applied pressure and injecting the polynucleotides distally to the applied pressure.” As stated earlier, this statement is correct. Claim 39 is not limited to inserting the injector distally to the applied pressure. A method of

injection includes inserting a catheter into a blood vessel proximal to the applied pressure for injection and delivery distal to the applied pressure.

In the last paragraph on page 6 the Action states that vector targeting is unpredictable and inefficient according to some prior art references. Applicants agree with that statement since a novel aspect of their invention is derived from their ability to deliver nucleic acids to a “desired tissue” such as limb muscles. This novelty has been demonstrated in each of the Examples written in the Specification. Applicants believe that they have significantly added to the art published in 1995 -1998 as listed by the Examiner.

On page 7 of the Office Action, first and second paragraphs, it states that “the specification does not enable delivering the polynucleotide to a blood vessel of a limb proximal to the applied pressure and delivering the polynucleotide to skeletal muscle cells of the limb distal to the applied pressure as broadly encompassed by claims 1 and 39.” This statement is confusing in that it lists delivery proximal and distal at the same time. Applicants clearly have not supported that statement and have not claimed it. As stated earlier, Applicants claim injection and delivery into an artery distal to the applied pressure (occlusion). Paradoxically, the Action states in the last sentence of this paragraph that “claims 1 and 39 should be limited to injecting the polynucleotide distal to the site of applied pressure.”

In the last paragraph on page 7 and continuing on page 8 the Action states that the specification does not discuss how to overcome the problems described by the prior art Milas. Milas taught perfusing a limb by inserting a solution containing adenovirus into an artery while allowing brisk outflow through a vein. Milas notes that providing brisk outflow is important (ref. page 2202, column 1).

In contrast, Applicant’s invention teaches prevention of outflow. This difference is one reason why Milas failed to observe delivery to skeletal muscle cells in the limb. Applicants’ method provides for delivery of nucleic acid to skeletal muscle cells in the limb by utilizing a tourniquet around the limb without requiring surgery. Applicants specifically teach that not occluding blood flow results in no delivery (page 30 lines 23-24). Note that the method of Milas requires perfusion of the limb

and permanent occlusion of vessels (page 2198, column 2, last paragraph). The method described by Milas relies on collateral blood flow to supply to limb following the procedure (page 2199, first paragraph, last full paragraph). Applicants' method does not recommend either of these procedures.

In the Action on page 8, beginning on line 7, it states: "The specification does not discuss how to overcome the problems described by Milas." Applicants' Specification teaches delivery of nucleic acids to muscle cells in limbs. The Milas reference is directed at delivering DNA to tumors and specifically states that it did not deliver to muscle tissue (Ref. page 2201, column 2, line 16). Methods of delivery to limb muscle are not required to overcome the problems of prior art directed to delivery of DNA to tumor cells.

In the last paragraph on page 8 of the Action it states that the specification does not enable delivering any polynucleotide as broadly claimed. The Examiner states that the specification is enabling for delivering a naked DNA encoding a protein operably linked to a promoter. However, it is known in the art that either the presence or absence of a promoter sequence in the polynucleotide is not likely to have an effect on whether or not the polynucleotide is delivered by the method described in the Specification. For example, Applicants have shown delivery of polynucleotides, not having a promoter sequence, complexed with a polymer (U.S. Patent No. 6,740,336) as well as delivery of small interfering RNA polynucleotides (U.S. Serial No. 10/012,804) representing a range of polynucleotides which have been delivered without using the using an exterior tourniquet or cuff. It would be unreasonable to infer that when using a tourniquet or cuff, a promoter sequence is necessary for polynucleotide delivery.

On page 9, first paragraph, the action states that "For the delivery to have an enabled use, it must encode a protein that is expressed to detectable levels in the cell." However, it is widely known in the art that antisense polynucleotides, and the more recently discovered small interfering RNA, can be used to block expression of cellularly expressed genes. Inhibition of gene expression is a widely accepted use and such polynucleotides are typically small oligonucleotides that are neither linked to a promoter nor encode a protein. (See page 6 lines 18-24, page 14 lines 14-30, and page

14 line 32 to page 15 line 2. Therefore, expression is not a required step for successful delivery of a polynucleotide into a cell.

Further on page 9, Applicants' examples are used to limit the claims. The Action states that since the examples describe only genes linked to promoters, the claims should also be limited. However, as discussed in the prior paragraph, inhibition of gene expression is a well known use of delivery methods. Applicants' described methods can be used to deliver either polynucleotides that are expressible or polynucleotides that inhibit expression equally well and should not be unreasonably limited to one or the other. The more important factor is the non-invasiveness of Applicants' procedure.

On page 10, first paragraph, the Action states that "RNA not translated into protein would not be 'expressed in the skeletal muscle cell' as claimed." However, Applicants have not claimed expression. Therefore, Applicants cannot formulate a response to the statement.

In the same paragraph: "The specification does not describe the polynucleotide recited in claims 1 or 39 as encompassing an RNA molecule that is not translated into protein 'but has a cellular function itself.' Therefore, the claims should be limited to DNA encoding a protein operably linked to a promoter." However, as discussed in previous paragraphs, the Specification does indeed describe expression inhibitors, including RNA, on page 6 lines 18-24, page 14 lines 14-30, and page 14 line 32 to page 15 line 2.

The action states on page 10, second paragraph, that the specification has not overcome the unpredictability of targeting polynucleotides to the desired tissue as established by Miller (1995), Deonarain (1998), Verna (1997) and Crystal (1995). Applicants respectfully remind the Examiner that each of these references was published prior to the filing date of Applicants' Specification and none of them contemplates the method described by Applicants. Prior art that makes an attempt to target polynucleotides to desired tissues cannot be used to refute subsequent art that effectuates targeted delivery of polynucleotides. Applicants have described and

demonstrated targeted delivery to limb muscle. Therefore, the cited prior art, which couldn't target tissues, can no longer be considered the "established" methods.

(ii) Rejection of the claims under 35 U.S.C. 112, second paragraph (Office Action page 10):

The Examiner states that the phrase "to occlude blood vessels in the limb" in claim 1 is an intended use and may not occur. Applicants cannot respond because the quoted phrase is not recited in claim 1. The claim provides for applying a device for impeding blood flow to the limb (step b), and applying pressure against the limb with the device to occlude blood flow to the limb (step c).

In the second paragraph on page 11, the Examiner states that the phrase "impeding blood flow to the surface of the skin" is unclear. The Examiner has taken the phrase out of context thus misinterpreting of the phrase. The complete phrase is: "applying a device for impeding blood flow to the surface of the skin of said limb." It is taken directly from the specification (page 5 line 13). That the device is applied to the surface of the skin is described in the specification on page 5 lines 14-15.

The Action states that the phrase "sufficient pressure" required to "occlude blood flow to said limb" is unclear because the specification and the art, at the time of filing, do not define the amount of pressure required to occlude blood flow. Applicants disagree.

The specification provides sphygmomanometers and tourniquets as examples of devices to occlude blood flow. Sphygmomanometers and tourniquets are widely used devices which, as part of their normal use, apply pressure against a limb to occlude blood flow. The specification further describes how these devices occlude blood flow to a limb; see page 5 lines 15-19.

It is well known that an individual's blood pressure varies from person to person. It follows that variable applications of pressure would be required to occlude blood flow in different people. People trained in measuring blood pressure can easily determine when blood flow has been occluded in any individual simply by listening through a stethoscope. Simple mechanical/electrical devices that can also detect occlusion of

blood flow in a particular individual have been commercially available for many years. On page 5, lines 17-19, the Specification states: "The vessel walls are forced to constrict in an area underneath the cuff in amount sufficient to impede blood from flowing at a normal rate." Since the amount of pressure required to occlude blood flow varies from person to person, and the amount of pressure required to accomplish such occlusion is easily determined, Applicants believe that the terminology "sufficient pressure" is clear and does not require further definition.

The Action states that the phrase "said occlusion" in claim 1 step d lack antecedent basis. Applicants respectfully disagree. Claim 1 step c states "to occlude blood flow". If blood flow is occluded, an occlusion is formed. The Merriam-Webster dictionary defines occlusion as **1**: the act of occluding : the state of being occluded. Dictionary.com further adds **2**: The act of occluding or the state of being occluded. Therefore, Applicants believe that the terminology "said occlusion" is used properly.

On page 12, first paragraph, the action states that the metes and bound of "cuff" (claims 35 and 36) are unclear. The action states that while a sphygmomanometer cuff can be envisioned, other cuffs can not be envisioned and that it can not be determined whether a cuff is a "device for impeding blood flow through mammalian internal blood vessels" (page 5 line 13-14 of the specification) or a "device applied exterior to the mammal's skin and touches the skin in a non-invasive manner" (page 5 lines 14-15 of the specification).

Applicants point to their Specification on page 5, lines 14-15, where it specifically states "However, for purposes of the claims, cuff refers specifically to a device applied exterior to the mammal's skin and touches the skin in a non-invasive manner." Applicants further note that a cuff applied exterior to the mammal's skin, when applied according to the specification, will impede blood flow through mammalian internal blood vessels (page 5 lines 15-19).

In the last paragraph on page 12 of the Action, claim 39 has been rejected because "mere delivery of polynucleotides to cells does not have a disclosed use." Applicants disagree and have addressed this issue in previous paragraphs in response to rejections under 35 U.S.C. 112, first paragraph. Applicants further note that delivery of

polynucleotides to cells in vivo has use to one having ordinary skill in the art. A search of the scientific literature for gene therapy alone will net thousands of articles describing research, benefits and effects of delivering polynucleotides to cell in vivo. Further, the specification outlines a number of potential uses: altering the endogenous properties of the cell (page 2 lines 29-30), block gene expression (page 6 line 18), cleave cellular RNA (page 6 lines 21-22), block transcription (page 6 line 22), bind to cellular proteins (page 6 line 23), expressing proteins (page 6 lines 28-29), therapeutic effects (page 7 line 28 to page 8 line 14). All of these are legitimate commercial uses.

In the Action on page 10, first paragraph, claim 39, step c has been determined to be indefinite because it is not “an active step.” Applicants do not understand this statement which makes it difficult to formulate a response. Nevertheless, the ability to deliver polynucleotides in a non-invasive manner, of which retaining complete limb function after the procedure being highly significant, is one of the more novel aspects of the invention. Inclusion of this aspect into the claims is deemed to be important by Applicants.

(iii) Rejection of the claims under 35 U.S.C. 102:

Claims 1,3 34-35 and 39 have been rejected under 35 U.S.C. 102(b) as being anticipated by Milas (1997). The Examiner states that the method of Milas inherently obtains delivery of polynucleotides to skeletal muscles because the muscles showed small inflammatory cell infiltrates. It is the Examiner’s contention that the presence of small inflammatory cell infiltrates indicate the presence of foreign material. The Examiner also states that Fig. 3 on page 2200 indicates that red blood cells leaked throughout the limb, which implies that the adenovirus also leaked throughout the limb. These interpretations reflect a misunderstanding of biological processes.

An inflammatory cell infiltrate merely indicates the presence of immune cells in the region. Adenovirus (“foreign material”) may be present in the leg using the Milas method. However, it is Applicants’ contention that the foreign substance is not delivered to skeletal muscle cells in the leg. As pointed out in previous replies, this contention is supported in the Milas et al. reference. Milas et al. state, on page 2201, second column, first full paragraph, that “no β -gal staining was apparent in the muscular tissues of the perfused leg.” Milas et al. further state, in the same paragraph,

that the only detectable difference between the perfused and nonperfused leg was the presence of inflammatory cell infiltrates.

The Examiner states that Fig. 3 in the Milas et al. reference shows that red blood cells leaked throughout the limb. That statement is not accurate. Milas et al. provide no indication of red blood cells 'leaking' out of the vasculature. Fig. 3 and the corresponding evidence are presented by Milas et al. solely to demonstrate the amount of systemic leakage of blood out of the isolated leg and into the rest of the rat body during the procedure. The Milas et al. reference states on page 2201, column 1, first full paragraph, in regard to Fig. 3: "it was pertinent to determine the effectiveness of limb isolation from the systemic circulation." "The predominant concentration of the leaked perfusate was in the liver and portal system." The experiment does not describe delivery of any material, including red blood cells, out of the vascular system.

The Action states that the method of Milas et al. "most definitely occludes inflow and outflow of blood to the leg." That statement is inaccurate. Milas et al. clearly provide for inflow of fluid into the leg, specifically at a rate of 2.4 ml per minute (page 2199, column 1). Milas et al. also clearly provide for fluid flow out of the leg: "the perfusion pump was positioned below the plane of the animal to allow venous outflow" (page 2199 column 1); "the perfusate entered via the femoral artery and returned via the femoral vein" (page 2199 column 1); "cannulation of the femoral vein with resultant brisk outflow is critical for the success of the procedure" (2202, paragraph 1).

Applicants' claims do not contemplate an invasive perfusion technique as put forth in Milas et al. Therefore, Applicants believe that the Milas et al. reference is not a proper §102 reference against their claims.

(v) Obviousness-type double patenting:

In the Office Action on page 14, the last paragraph, claims 1-3, 37 and 39 are rejected for double patenting over claim 1 of U.S. Patent No. 6,379,966. Claim 1 in the '966 patent reads as follows:

1. A process for delivering a polynucleotide complexed with a compound into an extravascular parenchymal cell of a mammal, comprising:
 - a) mixing the polynucleotide and a polymer to form a complex wherein the complex has a zeta potential which is not positive;

- b) inserting the polynucleotide into a mammalian blood vessel, in vivo;
- c) increasing permeability of the blood vessel;
- d) passing the complex through the blood vessel;
- e) delivering the complex into the mammalian extravascular parenchymal cell;
- and
- f) expressing the polynucleotide.

The Action states that the claims of the '966 and Applicants' claims are not patentably distinct because the method of '966 is an obvious species of Applicants' claims.

In contrast to the '966 claim 1, Applicants have described non-invasive methods for delivering polynucleotides to skeletal muscle cells in a mammalian limb by applying a device for impeding blood flow to the surface of the skin of a limb (exterior occlusion). The '966 claim 1 is not a species of Applicants' claims because the '966 patent and claims do not require occlusion. Delivery in the '966 is accomplished by hydrodynamic delivery methods where impeding blood flow (occlusion) is not necessary. Therefore, the '966 patent claims cannot be a species of Applicants claims and the two sets of claims are patentably distinct.

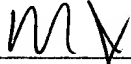
9. Appendix, Copy of the Claims:

1. An *in vivo* process for delivering polynucleotides to skeletal muscle cells in a limb of a mammal, comprising:
 - a) inserting an injector selected from the group consisting of a syringe needle and catheter into an artery in said limb;
 - b) applying a device for impeding blood flow to the surface of the skin of said limb;
 - c) applying sufficient pressure against said limb with said device to occlude blood flow to said limb; and,
 - d) injecting a solution containing the polynucleotides through said injector into the lumen of said artery distal to the occlusion thereby delivering the polynucleotides to said skeletal muscle cells distal to said occlusion in the limb.
2. (original) The process of claim 1 wherein the polynucleotide consists of naked DNA.
3. (original) The process of claim 1 wherein the polynucleotide is selected from the group consisting of a viral vector and a non-viral vector.
- 4-5. (cancelled)
6. (previously presented) The process of claim 1 wherein the muscle cell consists of a leg muscle cell.
7. (previously presented) The process of claim 1 wherein the muscle cell consists of an arm muscle cell.
8. (original) The process of claim 7 wherein the arm muscle cell consists of an anterior muscle cell.
9. (original) The process of claim 8 wherein the anterior muscle cell consists of an anterior superficial muscle cell.
10. (canceled)
11. (previously presented) The process of claim 9 wherein the muscle cell is selected from the group consisting of palmaris longus, pronator teres, flexor carpi radialis, flexor carpi ulnaris, and flexor digitorum superficialis.
12. (previously presented) The process of claim 8 wherein the anterior muscle cell is selected from the group consisting of flexor digitorum profundus, and pronator quadratus.

13. (original) The process of claim 7 wherein the arm muscle cell consists of a posterior muscle cell.
14. (original) The process of claim 13 wherein the posterior muscle cell consists of a posterior superficial muscle cell.
15. (canceled)
16. (previously presented) The process of claim 14 wherein the muscle cell is selected from the group consisting of brachioradialis, extensor carpi radialis longus, extensor carpi, radialis brevis, extensor digitorum, anconeus, extensor and carpi ulnaris.
17. (previously presented) The process of claim 13 wherein the posterior muscle cell is selected from the group consisting of supinator, extensor pollicis longus, abductor pollicis longus, extensor digiti secund et tertii, and extensor digiti quart et minimi.
18. (original) The process of claim 7 wherein the arm muscle cell consists of a hand muscle cell.
19. (original) The process of claim 18 wherein the hand muscle cell consists of a thumb muscle cell.
20. (original) The process of claim 18 wherein the hand muscle cell consists of an interosseus cell.
21. (original) The process of claim 6 wherein the leg muscle cell consists of a posterior muscle cell.
22. (original) The process of claim 21 wherein the posterior muscle cell consists of a superficial cell.
23. (canceled)
24. (original) The process of claim 22 wherein the superficial cell is selected from the group consisting of gastrocnemius and soleus.
25. (previously presented) The process of claim 21 wherein the posterior muscle cell is selected from the group consisting of popliteus, flexor digitorum longus, flexor hallucis longus, and tibialis posterior.
26. (original) The process of claim 6 wherein the leg muscle cell consists of a anterior muscle cell.
27. (canceled)
28. (original) The process of claim 6 wherein the leg muscle cell consists of a foot muscle cell.

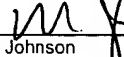
29. (original) The process of claim 26 wherein the anterior muscle cell is selected from the group consisting of tibialis anterior, extensor hallucis longus, extensor digitorum longus, and abductor hallucis longus.
30. (previously presented) The process of claim 6 wherein the leg muscle cell is selected from the group consisting of peroneus longus and peroneus brevis.
31. (original) The process of claim 28 wherein the foot muscle cell is selected from the group consisting of extensor digitorum brevis and extensor hallucis brevis.
- 32-33. (canceled)
34. (previously presented) The process of claim 33 wherein said device for impeding blood flow consists of a tourniquet .
35. (previously presented) The process of claim 33 wherein said device for impeding blood flow consists of a cuff surrounding said limb.
36. (previously presented) The process of claim 35 wherein said device for impeding blood flow consists of a sphygmomanometer cuff.
- 37.-38. (canceled)
- 39)(currently amended) An *in vivo* process for delivering polynucleotides to skeletal muscle cells in a limb of a mammal, comprising:
- a) inserting an injector selected from the group consisting of syringe needle and catheter into a blood vessel in said limb in the mammal and applying pressure to the blood vessel wherein the pressure occludes blood flow through said blood vessel and is applied to the skin of said limb by a device external to the skin of said mammal and;
 - b) injecting a solution containing the polynucleotides into the lumen of said blood vessel distal to the occlusion thereby delivering the polynucleotides to said skeletal muscle cells in the limb distal to the occlusion; and,
 - c) wherein function of the limb is not affected by inserting the injector, applying pressure to the vessel, and injecting the solution.
40. (canceled)

Pages 1-17 are
Respectfully submitted,

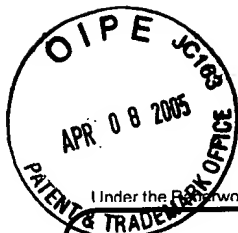


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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 250.00

Complete if Known

Application Number 09/707,000

Filing Date 11/06/2000

First Named Inventor Jon A. Wolff

Examiner Name Wilson, Michael

Art Unit 1632

Attorney Docket No. 18.01

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP = _____ x _____ = _____			

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP = _____ x _____ = _____			

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____				

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Appeal Brief

Fees Paid (\$)

250.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 35,909	Telephone 608.238.4400
Name (Print/Type)	MARK K JOHNSON		Date APRIL 8, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.